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U.S. Petent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Faxed to 703-872-9306 on 03/17/2004 information unless it displays a valid OMB control number. are required to respond to Under the Paperwork Reduction Act of 1995, no persons Application Number 10/602.327 Filing Date 06/23/2003 TRANSMITTAL First Named Inventor FORM Mossberg Art Unit 2872 (to be used for all correspondence after initial filing) Examiner Name Alessandro V. Amari Attorney Docket Number LTSM01DV1 Total Number of Peges in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC ~ (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(9) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** - postcard receipt Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certifled Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. 40310 David S. Alavi Individual name Signature Date 03/17/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Faxed to 703-872-9306 on 03/17/2004 Typed or printed name Reg No 40310 David S Alavi Date 03/17/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page 2 of 4

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Docket No. LTSM01DV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas W. Mossberg

Art Unit: 2872

Filed: 06/23/2003

Examiner: Alessandro V. Amari

App. No.: 10/602,327

For:

Holographic spectral filter

ELECTION UNDER 37 CFR §1.146

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 03/17/2004

Sir:

In response to the Requirement for Restriction dated 02/17/2004, Applicant hereby makes his provisional election as set forth hereinbelow.

Examiner has restricted claims in the application among the following patentably distinct species:

Species 1 – Method for calculating a temporal interference pattern as a superposition of the plurality of temporal interference patterns – Claims 13-16;

Species 2 – Method for forming a volume hologram comprising deposition of a layer and imparting a pattern onto a slab face of a planar waveguide by deformation of the deposited layer – Claims 21-32 and 44-51;

Species 3 – Method for forming a volume hologram comprising imparting a pattern onto a material layer and depositing the patterned layer onto the slab face of the planar waveguide substrate – Claims 33-38;

Species 4 – Method for forming a volume hologram comprising imparting a pattern onto at least one surface of a support slab and pressing and bonding the patterned support slab against a planar waveguide substrate – Claims 39-43; and

App. No. 10/602,327

Election under 37 CFR §1.146

Species 5 – Method for forming a volume hologram comprising spatially selectively exposing a photosensitive optical medium – Claims 52-58.

Examiner has asserted that Claims 1 and 17 appear to be generic.

Applicant respectfully asserts that Claims 1-7 and 10-12 are generic. Each of said claims reads on each of the species.

Applicant further respectfully asserts that a more suitable characterization of the species would be as follows:

Species 1 – Method comprising calculating a temporal interference pattern for use in forming a volume hologram – Claims 13-16:

Species 2 – Method for forming a volume hologram comprising imparting a pattern onto a slab face of a planar waveguide – Claims 8, 21-32 and 44-51;

Species 3 – Method for forming a volume hologram comprising imparting a pattern onto a material layer and depositing the patterned layer onto the slab face of the planar waveguide substrate – Claims 33-38;

Species 4 – Method for forming a volume hologram comprising imparting a pattern onto at least one surface of a support slab and pressing and bonding the patterned support slab against a planar waveguide substrate – Claims 39-43;

Species 5 – Method for forming a volume hologram comprising spatially selectively exposing a photosensitive optical medium – Claims 52-58; and

App. No. 10/602,327

Election under 37 CFR §1.146

Species 6 – Method for forming a volume hologram comprising imparting a pattern within a planar waveguide – Claims 9 and 17-20.

If the foregoing characterization of species is acceptable to the Examiner, then Applicant hereby provisionally elects Species 2 (Claims 8, 21-32, and 44-51) for prosecution if no generic claim is held allowable. Applicant reiterates the earlier assertion that Claims 1-7 and 10-12 are generic.

If the foregoing characterization of the species is not acceptable, Applicant hereby provisionally elects Species 2 (Claims 21-32 and 44-51) for prosecution if no generic claim is held allowable. Applicant further respectfully requests that the Examiner conveys in the next communication the reasons for non-acceptance of the foregoing characterization of species.

In view of the above, it is submitted that the application is ready for examination on the merits.

Respectfully submitted,

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